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DDA SELF-ASSESSMENT FORM IBI PARTICIPANT CLINICAL REVIEW			
IDAPA 16.04.11 DEVELOPMENTAL DISABILITIES AGENCIES	Yes	No	COMMENTS

<i>This form will be used in conjunction with the clinical review form for children 3-17.</i>			
Does the agency assure that for IBI services, Department approved forms being used? 16.04.11.703.08.			
Does the agency assure that on all IBI Implementation Plan cover sheets there is a signature of a parent or legal guardian ? If the signatures of the parent or legal guardian cannot be obtained, then the agency must document in the participant's record the reason the signatures were not obtained. 16.04.11.703.08			
SERVICE DESCRIPTION AND ELIGIBILITY. Does the agency have a process to assure that IBI consists of individualized, comprehensive interventions that have been shown to be effective and are used on a short term, one-to-one basis. These interventions Produce measurable outcomes that diminish behaviors that interfere with the development and use of language and appropriate social interaction skills; 16.04.11.800.			
Does the agency have a process to assure that IBI interventions broaden an otherwise severely restricted range of interest; and 16.04.11.800.			
Does the agency have a process to assure that IBI interventions increase the child's ability to participate in other therapies and environments. 16.04.11.800.			
IBI Service Eligibility. Does the agency maintain on file evidence of eligibility components? a. Self-injurious, aggressive or severely maladaptive behavior as evidenced by a General Maladaptive Index score of minus twenty-two (-22) or below on the Scales of Independent Behavior - Revised (SIB-R) or other behavioral assessment indicators identified by the Department; and 16.04.11.800.02.			
IBI AUTHORIZATION AND REVIEW			
Does the agency assure that documents were submitted to the department at least 20 days prior to the start date of IBI services to be reviewed and prior authorized for each service year as follows: a. Evidence of the child's eligibility for Intensive Behavioral Intervention; b. The comprehensive IBI assessments; c. The Program Implementation Plans; d. The number of hours of service requested; and e. Measurable objectives. 16.04.11.801			
Does the agency assure that they conduct and document a formal 3 month review of therapy objectives and direction for future therapy for each objective 16.04.11.801.02.			
Sixth-Month Review and Authorization. Does the agency assure at least fifteen (15) working days prior to the expiration of prior authorized IBI services the agency submits to the department: a. The three (3) month review; (7-1-06) b. Documentation of the child's progress on IBI goals and outcomes of the IBI objectives for those six (6) months; and c. When continuing IBI services are requested, the Program Implementation Plans, the number of hours of service requested, and the measurable objectives, using Department-approved forms. Continued services will not be authorized when little or no progress has been documented and justification is inadequate to continue IBI services. 16.04.801.03.			
Nine-Month Review. Does the agency assure that they conduct and document a formal review of therapy objectives and direction for future therapy for each objective. 16.04.11.801.04			
Annual Review and Authorization. Does the agency assure that at least fifteen (15) working days prior to the expiration of prior authorized IBI services the agency submits a. The nine (9) month review; (7-1-06) b. Documentation of the child's progress on IBI goals and outcomes of the IBI objectives for that year; and c. When continuing IBI services are requested: i. A new SIB-R that reflects the child's current status and any additional information required to establish continuing eligibility; ii. The Program Implementation Plans; and iii. The number of hours of service requested and the measurable objectives, using Department approved forms. Continued services will not be authorized when little or no progress has been documented and justification is inadequate to continue IBI services. 16.04.11.801.05			

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COMPREHENSIVE IBI ASSESSMENT. Does the agency assure that a comprehensive IBI assessment must be completed by a certified IBI professional prior to the initial provision of IBI or IBI Consultation. 16.04.11.802.			
Does the agency assure that the results of the assessment form the basis for planning interventions? 16.04.11.802.			
Does the agency assure that the comprehensive assessment include a review of assessments and medical histories? The assessment must include the following: 01. Review of Assessments and Relevant Histories. (7-1-06) a. Medical history, medications, and current medical status; (7-1-06) b. Medical/social history that includes a developmental history and onset of developmental disability; (7-1-06) c. Comprehensive developmental assessment reflecting the child's current status; (7-1-06) d. Specific skill assessment, when such an assessment is completed; (7-1-06) e. SIB-R Maladaptive Index and a list of the child's maladaptive behaviors; (7-1-06) f. Baseline of the child's maladaptive behavior(s), if available; (7-1-06) g. Psychological assessments and results of psychometric testing, or for very young children, a developmental assessment with equivalent age-appropriate social-emotional status, if available; (7-1-06) h. A mental health or social and emotional assessment, such as the Child and Adolescent Functional Assessment Scale (CAFAS), when one has been completed; (7-1-06) i. Public school or Infant Toddler Program records including relevant birth records, multidisciplinary team assessments, recommendations, and Individualized Education Programs (IEPs) or Individualized Family Service Plans (IFSPs); and (7-1-06) j. Other relevant assessments that may be available, including those for speech and hearing and physical and occupational therapy. 16.04.11.802.			
Interviews. Does the agency assure that interviews are conducted with the child, if possible, and to the extent of the child's abilities; the child's parent or legal guardian, or the primary care provider; and any other individuals who spend significant amounts of time with the child must result in a written summary of the findings of each interview and include the following: a. Description of the child's desired and problem behaviors; b. Opinion about environmental stimuli that appear to precede problem behaviors; c. Opinion about the internal states or setting events that precede desired and problem behaviors; d. Opinion about identification of stimuli that maintain the desired or problem behaviors; e. Opinion about factors that alleviate problem behaviors and increase desired behaviors. 16.04.11.802.02.			
Observation of the Child. Does the agency assure observations of the child occur in environments in which the child spends significant amounts of time and where problem behaviors have been reported, and document Results of the observations to include the following: a. Specific descriptions and frequencies of problem behaviors; b. Identification of environmental stimuli that appear to precede problem behaviors; c. Identification of internal states or setting events that appear to precede problem behaviors; d. Identification of stimuli that maintain the desired or problem behaviors; and e. Identification of factors that alleviate problem behaviors and increase desired behaviors. 802.03.			
Clinical Opinion. Does the agency assure that assessments include Clinical opinion about the underlying causes, antecedents, motivations, and communicative intent of desired and problem behaviors. 16.04.11.802.04.			
IBI TRANSITION PLAN. Does the agency assure An IBI transition plan is developed when it is anticipated that IBI services will be terminated within the next Department or agency review period and the child will be moving into natural learning environments or less intensive therapy settings. The IBI transition plan may not be used as a substitute for, nor does it replace the transition plans required under Sections 701 and 702 of these rules. 16.04.11.803.			
Does the agency assure that the IBI transition plans include the following steps to support the transition and the timelines for those steps: 01. Setting. The setting to which the child will be moving and the therapists or persons who will be interacting with the child; and.			

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02. Training of New Therapists or Other Persons. How behavioral intervention techniques will be shared with new therapists or other persons in the new environments to encourage generalization and maintenance of appropriate behavior and action to be taken if the child demonstrates regression in the new setting in skills learned through IBI. 16.04.11.803.			
IBI CONSULTATION. Does the agency assure when consultation is provided that Professionals provide consultation to parents and other family members, professionals, paraprofessionals, school personnel, child care providers, or other caregivers who provide therapy or care for an IBI eligible child in other disciplines to assure successful integration and transition from IBI to other therapies, services, or types of care? 16.04.11.804			
Does the agency assure that IBI consultation objectives and methods of measurement are developed in collaboration with the person receiving IBI consultation? 16.04.11.804.			
Does the agency assure that IBI consultation ia delivered by an IBI professional who meets the requirements in Section 420 of these rules? 16.04.11.804.01			
Measurable Progress. Does the agency assure that IBI consultation results in measurable improvement in the child's behavior. It is not intended to be used for educational purposes only? 16.04.11.804.02			
Evidence of Progress. Does the agency assure that persons who receive IBI consultation meet with the IBI professional, agree to follow an IBI Implementation Plan, and provide evidence of progress 16.04.11.804.02			
Does the agency have a process to assure that consultation is not reimbursed when it is delivered to a group of parents. IBI consultation is specific to the unique circumstances of each child. 16.04.11.804.04			

Person completing the review:_____ Date:_____

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